

Docket No.: 42390P13048



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TOMM V. ALDRIDGE, ET AL.

Application No.: 10/038,160

Filed: January 2, 2002

For: **POWER AND CONTROL FOR POWER**

SUPPLY FANS

Art Group: 2838

Examiner: To Be Determined

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 20, 2003 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Steven Laut, Reg. No. 47,736

Date: November 06, 2003

12400 Wilshire Boulevard, 7th

Floor

Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11-06-03

Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of

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	Complete if Known						
	Application Number	10/038,160					
-	Filing Date	January 2, 2002					
	First Named Inventor	Tomm V. Aldridge					
	Art Unit	2838					
4	Examiner Name	To Be Determined					
	Attorney Docket Number	42390P13048					

			U.S. PATI	ENT DOCUMENTS	
Examiner Cite Number - Kind Code² (if known)			Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,434,389	02-28-1994	Langley et al.	
		US-4,618,806	10-21-1986	Grouse	
		US-5,572,403	11-05-1996	Mills	
		US-5,258,676	11-02-1993	Reinhardt et al.	
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	FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	D. Minedian Date		Pages, Columns, Lines,	T6				
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1				
		WO 94/14226	06-23-1994	Honeywell Inc.		<u> </u>				

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Date	
Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Kn wn							
Application Number 10/038,160							
Filing Date	January 2, 2002						
First Named Inventor	Tomm V. Aldridge						
Art Unit	2838						
Examiner Name	To Be Determined						
Attorney Docket Number	42390P13048						

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
		PCT International Search Report dated 8/20/2003 related to International Application No. PCT/US 02/41553 (9 pgs).					
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Examiner	Date	
Signature	Consi	idered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

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Complete if Known

Application Number 10/038,160

Filing Date January 2, 2002

First Named Inventor Tomm V. Aldridge

Examiner Name To Be Determined

Group/Art Unit 2838

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Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. Charge fee(s) indicated below, except for the filling fee to the above-definited depose account FEE CALCULATION 1. BASIC FILING FEE Large Entity Fee Fee Code (8) Small Entity Fee Fee Fee Fee Eea Description. FiedPad Total Claims Substrotal (1) Substrotal (1) Substrotal (1) Substrotal (1) Substrotal (2) Extract Calling Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	<u></u>	1804	920 *	1804	920			
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Signature

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		Filing Date January 2, 2002						
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			Art Unit	2838				
			Examiner Name	To Be	Determined			
Total Number of F	Pages in This S	42390)P13048					
ENCLOSURES (check all that apply)								
Fee Transmittal Form Drawing)		After Allowance Communication to Group			
Fee Attach	ned	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences			
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or Individual name	BLAKI	ELY, SOKOLØFF,) TAYLOR & ZAF	MAN I	LLP			
Signature								
Date	Date November 6, 2003							
		CERTIFICATE OF MAIL	ING/TRANSMISSION					
	I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
Typed or printed na	ame Jean	Syoboda						
Signature		XIL	,	Date	November 6, 2003			

Application No.

10/038,160